

# *Joint Audit Committee*

## Regulatory Update

0106

TO: Chief Financial Officers  
Chief Compliance Officers

DATE: September 25, 2001

SUBJECT: EXECUTIVE ORDER ON TERRORIST FINANCING

On September 24, 2001, President George W. Bush issued the [attached Executive Order](#) Blocking Property and Prohibiting Transactions with Persons Who Commit, Threaten to Commit, or Support Terrorism.

The Order lists the names of individuals and organizations that are subject to its provisions. Additional names may be added to this list, and we will notify you, if and when we receive such additional names.

The Order freezes the assets of these individuals and organizations and prohibits all U.S. persons and entities from doing business with these individuals and organizations.

Please search your records to ensure that you do not carry any accounts that are owned or controlled by any of these individuals or organizations, and that you do not otherwise have any business dealings with any of these individuals or organizations.

If you discover that you do have any such accounts, you must immediately freeze any funds, and immediately notify the Commodity Futures Trading Commission and your DSRO. If you are at any time approached by any of these individuals or organizations for any purpose whatsoever, you should also immediately notify the CFTC and your DSRO. The contact person to notify at the CFTC is Elizabeth Hastings, Senior Investigator, Division of Enforcement, at (202) 418-5373.

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The Joint Audit Committee is a representative committee of U.S. futures exchanges and regulatory organizations including the: ACC, BTEX, CBOT, CME, COMEX, CSC, KCBOT, MESL, MGE, NYCE, NYFE, NYMEX, PBOT, and the NFA